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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UCSF03-114

First Named Inventor or Application Identifier Wendell Lim et al.

Title Protein Logic Gates

Express Mail Label No. EV324953027US

**EV324953027US**

21906 U.S. PTO  
10/613380  
07/03/03

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  X  \*Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  X  Applicant claims small entity status (see 37 CFR 1.27)
3.  X  Specification (Total Pages  39 )  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.     Drawings(s) (35 USC 113) (Total Sheets    )
5.  X  Oath or Declaration (Total Pages  2 )
  - a.  X  Newly Executed (Original or Copy)
  - b.     Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed)
  - i.      DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.     Application Data Sheet. See 37 CFR 1.76
7.     CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. ☒ **Nucleotide and/or Amino Acid Sequence Submission**

(if applicable, all necessary)

- a. ☒ Computer Readable Form (CRF)  
b. ☒ Specification Sequence Listing on: i. ☐ CD-ROM or CD-R (2 copies); or ii. ☒ paper  
c. ☒ Statement verifying identity of above copies  
d. ☐ Request to use CRF from another application

**ACCOMPANYING APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & documents(s))  
10. ☐ 37 CFR 3.73(b) Statement (where there is an assignee)  
☐ Power of Attorney  
11. ☐ English Translation Document (if applicable)  
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449  
☐ b. Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16. ☐ Other: \_\_\_\_\_

17. **Priority**

This application claims priority to prior application No: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_  
The entire disclosure of the prior application, from which an oath or declaration is supplied under 5b, is considered a part of the disclosure of the accompanying application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. **Correspondence Address**

☒ Customer Number or Bar Code Label 23379  
(Insert Customer No. or Attach Bar Code Label here)

or

☒ Correspondence Address Below

NAME Richard Aron Osman

SCIENCE & TECHNOLOGY LAW GROUP

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CITY Hillsborough STATE California ZIP CODE 94010

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Name: Richard Aron Osman Registration No: 36,627

Signature:  Date: \_\_\_\_\_

# **FEE TRANSMITTAL EFFECTIVE JANUARY 1, 2003**

Fees are subject to annual revision

**TOTAL AMOUNT OF PAYMENT (\$)** \$415.00

## **Complete if Known:**

Application No. Not yet assigned

Filing Date Herewith

First Named Inventor Lim et al.

Group Art Unit Not yet assigned

Examiner Name Not yet assigned

Attorney Docket No. UCSF03-114

## **METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees to:

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 and credit any over payments to:

☒ Applicant claims small entity status. See 37 CFR 1.27

Deposit Account Number 19-0750

Deposit Account Name Science & Technology Law Group

2. ☒ Payment Enclosed

☒ Check

☐ Money Order

☐ Other

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description                    | Fee Paid          |
|--------------|----------|--------------|----------|------------------------------------|-------------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                                    |                   |
| 101          | 750      | 201          | 375      | Utility application filing fee     | <u>375.</u>       |
| 106          | 330      | 206          | 165      | Design application filing fee      | <u>          </u> |
| 107          | 520      | 207          | 260      | Plant filing fee                   | <u>          </u> |
| 108          | 750      | 208          | 375      | Reissue filing fee                 | <u>          </u> |
| 114          | 160      | 214          | 80       | Provisional application filing fee | <u>          </u> |
| SUBTOTAL (1) |          |              |          |                                    | <u>\$ 375.00</u>  |

### **2. CLAIMS**

| Large Entity |          | Small Entity |          | Fee Description   | Fee Paid       |
|--------------|----------|--------------|----------|---|----------------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |                |
| 103          | 18       | 203          | 9        | Claims in excess of twenty                              | <u>0</u>       |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                       | <u>0</u>       |
| 104          | 280      | 204          | 140      | Multiple dependent claim                                | <u>0</u>       |
| 109          | 84       | 209          | 42       | Reissue independent claims over original patent         | <u>0</u>       |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent | <u>0</u>       |
| SUBTOTAL (2) |          |              |          |   | <u>\$ 0.00</u> |

# **FEE CALCULATION (c ntinu d)**

## **3. ADDITIONAL FEES**

| <u>Large Entity</u>       |             | <u>Small Entity</u> |             | <u>Fee Description</u>   | <u>Fee Paid</u> |
|---------------------------|-------------|---------------------|-------------|--|-----------------|
| <u>F</u>                  | <u>Fee</u>  | <u>Fee</u>          | <u>Fee</u>  |  |                 |
| <u>Code</u>               | <u>(\$)</u> | <u>Code</u>         | <u>(\$)</u> |  |                 |
| 105                       | 130         | 205                 | 65          | Surcharge - late filing fee or oath  |                 |
| 127                       | 50          | 227                 | 25          | Surcharge - late provisional filing fee or cover sheet                     |                 |
| 139                       | 130         | 139                 | 130         | Non-English specification  |                 |
| 147                       | 2,520       | 147                 | 2,520       | For filing a request for reexamination                                     |                 |
| 112                       | 920*        | 112                 | 920*        | Requesting publication of SIR prior to Examiner action                     |                 |
| 113                       | 1,840*      | 113                 | 1,840*      | Requesting publication of SIR after Examiner action                        |                 |
| 115                       | 110         | 215                 | 55          | Extension for response within first month                                  |                 |
| 116                       | 410         | 216                 | 205         | Extension for response within second month                                 |                 |
| 117                       | 930         | 217                 | 465         | Extension for response within third month                                  |                 |
| 118                       | 1,450       | 218                 | 725         | Extension for response within fourth month                                 |                 |
| 128                       | 1,970       | 228                 | 985         | Extension for response within fifth month                                  |                 |
| 119                       | 320         | 219                 | 160         | Notice of Appeal   |                 |
| 120                       | 320         | 220                 | 160         | Filing a brief in support of an appeal                                     |                 |
| 121                       | 280         | 221                 | 140         | Request for oral hearing   |                 |
| 138                       | 1,510       | 138                 | 1,510       | Petition to institute a public use proceeding                              |                 |
| 140                       | 110         | 240                 | 55          | Petition to revive unavoidably abandoned application                       |                 |
| 141                       | 1,300       | 241                 | 650         | Petition to revive unintentionally abandoned application                   |                 |
| 142                       | 1,280       | 242                 | 640         | Utility issue fee (or reissue)   |                 |
| 143                       | 460         | 243                 | 230         | Design issue fee   |                 |
| 144                       | 620         | 244                 | 310         | Plant issue fee  |                 |
| 122                       | 130         | 122                 | 130         | Petitions to the Commissioner  |                 |
| 123                       | 50          | 123                 | 50          | Petitions related to provisional applications                              |                 |
| 126                       | 180         | 126                 | 180         | Submission of Information Disclosure Stmt                                  |                 |
| 581                       | 40          | 581                 | 40          | Recording each patent assignment per property (times number of properties) | 40.00           |
| 146                       | 750         | 246                 | 375         | For filing a submission after final rejection (see 37 CFR 1.129(a))        |                 |
| 149                       | 750         | 249                 | 375         | For each additional invention to be examined (see 37 CFR 1.129(a))         |                 |
| Other fee (specify) _____ |             |                     |             |  |                 |
| Other fee (specify) _____ |             |                     |             |  |                 |

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

## **SUBMITTED BY:**

Typed or Printed Name: Richard Aron Osman

Signature  Date July 3, 2003

Reg. Number 36,627 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)